

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: 60802D

Donald A. TOMALIA, et al.

Continuation Appln. of.: 10/689,503

Confirmation No.: 4273 (parent)

Group Art Unit: 1714 (parent)

Filed: March 21, 2006

Examiner: Tae H. YOON (parent)

For: NANOCOMPOSITES OF DENDRITIC POLYMERS

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97 and 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form which are all the references of record in parent application No. 10/689,503. Applicant is not submitting duplicate copies of these references but requests that they be listed on the face of any patent granted on the above application. (See 37 CFR §1.98(d)). Copies of any cited co-pending United States applications, if not previously submitted, are being submitted herewith, except copies of co-pending non-provisional United States patent applications filed after June 30, 2003.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not

INFORMATION DISCLOSURE STATEMENT
CONTINUATION OF APPLN. NO. 10/689,503

waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



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MAR 21 2006

Substitute for Form 1449 A & B/PTO <u>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</u> <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	Continuation Appln. of Appln. No. 10/689,503
				Confirmation Number	4273 (parent)
				Filing Date	March 21, 2006
				First Named Inventor	Donald A. TOMALIA
				Art Unit	1714 (parent)
				Examiner Name	Tae H. YOON (parent)
				Attorney Docket Number	60802D
Sheet	1	of	1		

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 4,587,329		5/1986	Donald A. Tomalia et al.
		US 5,338,532		8/1994	Donald A. Tomalia et al.
		US 5,376,690		12/1994	George R. Newkome et al.
		US 5,422,379		6/1995	George R. Newkome et al.
		US 5,338,331		8/1994	Hijikata et al.
		US 6,350,384		2/002	Dvornic et al.
		US 2002/0165179		11/2002	James R. Baker, Jr.
		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		EP	0 684 044		11/29/1995		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		Balogh, Lajos et al., "A revolution of nanoscale proportions", American Chemical Society, Chemical Innovation, March 2000, pages 19-26	
		Grohn, Franziska et al., "Dendrimer Templates for the Formulation of Gold Nanoclusters", Macromolecules 2000, Vol. 33, pages 6042-6050	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.